



ARIZONA STATE RETIREMENT SYSTEM

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Paul Matson
Director

AGENDA

NOTICE OF COMBINED PUBLIC MEETING AND POSSIBLE EXECUTIVE SESSION OF THE ARIZONA STATE RETIREMENT SYSTEM OPERATIONS, AUDIT, AND LEGISLATIVE COMMITTEE

April 8, 2020
2:30 p.m.

Pursuant to A.R.S. § 38-431.02(F), notice is hereby given to the Trustees of the Arizona State Retirement System (ASRS) Operations, Audit, and Legislative Committee (OALC) and to the general public that the ASRS OALC will hold a **telephonic** meeting open to the public on Wednesday, April 8, 2020, beginning at 2:30 p.m. In light of the Arizona Department of Health Services' recommendation to cancel or postpone mass gatherings of 10 or more people, and to help prevent community spread of COVID-19, the meeting will be audience free. **Trustees of the Board and the public may attend by telephone conference call at 877-820-7831, using Participant Passcode: 857127#.**

This is a regularly scheduled meeting of the OALC; however, due to possible attendance by other ASRS Board Trustees, this meeting may technically become a meeting of the Board or one of its committees. Actions taken will be consistent with OALC governance procedures. Actions requiring Board authority will be presented to the full Board for final decision.

The Chair may take public comment during any agenda item.

The agenda for the meeting is as follows:

1. Call to Order; Roll Call; Opening Remarks..... Mr. Clark Partridge
OALC Chair
2. Approval of the Minutes of the January 8, 2020, Public Meeting and Executive Session of the OALC (*estimated time: 1 minute*) Mr. Clark Partridge
3. Presentation, Discussion, and Appropriate Action Regarding an Update of the ASRS Employer Compliance (*estimated time: 10 minutes*) Mr. Paul Matson
Director
..... Mr. Anthony Guarino
Deputy Director and Chief Operations Officer
..... Mr. Jeremiah Scott
Assistant Director, Member Services Division
..... Mr. Patrick Toto
Employer Relations Supervisor, Member Services Division

4. Presentation, Discussion, and Appropriate Action Regarding the 2019 Rulemaking Initiative Regarding Calculating Benefits (*estimated time: 10 minutes*) Mr. Paul Matson
..... Mr. Anthony Guarino
..... Ms. Jessica Thomas
Government Relations Officer

5. Presentation, Discussion, and Appropriate Action Regarding the 2020 Five-Year Rule Review Report (*estimated time: 10 minutes*) Mr. Paul Matson
..... Mr. Anthony Guarino
..... Ms. Jessica Thomas

6. Presentation, Discussion, and Appropriate Action Regarding the Internal Audit Quarterly Update (*estimated time: 10 minutes*) Mr. Paul Matson
..... Mr. Anthony Guarino
..... Mr. Harold Mackey
Chief Internal Auditor

7. Review of Recently Conducted Audits Mr. Paul Matson
..... Mr. Anthony Guarino
..... Mr. Harold Mackey
 - Health Insurance Premium Benefits-Enrollee Updates for Other Employers – Internal Process
 - Information Risk Management (IRM) Tool – Risk Assessment – Internal Process

8. Call to the Public..... Mr. Clark Partridge

Those wishing to address the ASRS OALC will be requested to do so telephonically. Trustees of the OALC are prohibited by A.R.S. § 38-431.01(H) from discussing or taking legal action on matters raised during an open call to the public unless the matters are properly noticed for discussion and legal action. As a result of public comment, the OALC may direct staff to study and/or reschedule the matter for discussion and decision at a later date.

9. Requests for Future Agenda Items..... Mr. Clark Partridge
..... Mr. Anthony Guarino

10. The next regular public OALC meeting is scheduled for Wednesday, July 8, 2020, at 2:30 p.m.

Regarding the following agenda item, pursuant to A.R.S. § 38-431.03(A)(2), notice is hereby given to Trustees of the ASRS OALC and the general public that the ASRS OALC may vote to go into executive session, which will not be open to the public, for the purpose of discussion or consideration of records exempt by law from public inspection.

11. Presentation, Discussion, and Appropriate Action Regarding the ASRS Group Dental Services Solicitation and Request for Proposal (RFP) (estimated time: 20 minutes)..... Mr. Paul Matson
..... Mr. Anthony Guarino
..... Ms. Martha Rozen
Chief of Administrative Services
..... Ms. M. Harrison
Budget Manager, Administrative Services Division

Regarding the following agenda item, pursuant to A.R.S. § 38-431.03(A)(2), notice is hereby given to Trustees of the ASRS OALC and the general public that the ASRS OALC may vote to go into executive session, which will not be open to the public, for the purpose of discussion or consideration of records exempt by law from public inspection.

12. Presentation, Discussion, and Appropriate Action Regarding the ASRS Information Security Program (estimated time: 20 minutes) Mr. Paul Matson
..... Mr. Anthony Guarino
..... Mr. Jeff Hickman
Information Security Officer

13. Adjournment of the OALC

A copy of the agenda background material provided to the OALC Trustees (with the exception of material relating to possible executive sessions) is available for public inspection at the ASRS offices located at 3300 North Central Avenue, 14th Floor, Phoenix, Arizona and 4400 East Broadway Boulevard, Suite 200, Tucson, Arizona. The agenda is subject to revision up to 24 hours prior to meeting. These materials are also available on the ASRS website (<https://www.azasrs.gov/content/board-and-committee-meetings>) approximately 48 hours prior to the meeting.

Persons with disabilities may request alternate formats of this document by contacting Tracy Darmer, ADA Coordinator at (602) 240-5378 in Phoenix, at (520) 239-3100, ext. 5378 in Tucson, or 1-800-621-3778, ext. 5378 outside metro Phoenix or Tucson. Requests should be made as early as possible to allow time to arrange the accommodations.

Dated April 1, 2020

ARIZONA STATE RETIREMENT SYSTEM

Signature on File
Melanie Alexander
Committee Administrator

Signature on File
Anthony Guarino
Deputy Director and Chief Operations Officer